U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

BTOS REC'T PCT/PTO 0/8 DEC 2004

ATTORNEY'S DOCKET NUMBER

056231-9001

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TRANSMITAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 3.71

INTERNATIONAL APPLICATION NO. PCT/US2003/018464

INTERNATIONAL FILING DATE 16 June 2003 (16.06.2003)

PRIORITY DATE CLAIMED 14 June 2002 (14.06.2002)

TITLE OF INVENTION

TRANSMIT	ÀLITY ASSESSME	NT APPARATUS	AND METHODS
	AI/III		

20. 🗷 Other items or information: Return receipt postcard.

	SMURALITY ASSESSMENT APPARATUS AND METHODS
	NT(S) FOR DO/EO/US , David C.; KRUM, David P.; HARE, John W.; SRA, Jasbir
	t herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
×	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
×	The US has been elected. (Article 31).
×	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
a.	is attached hereto (required only if not communicated by the International Bureau).
b.	☐ has been communicated by the International Bureau.
c.	is not required, as the application was filed in the United States Receiving Office (RO/US).
	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
a.	is attached hereto.
b.	☐ has been previously submitted under 35 U.S.C. 154(d)(4).
×	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a.	☐ are attached hereto (required only if not communicated by the International Bureau).
b.	☐ have been communicated by the International Bureau.
c.	☐ have not been made; however, the time limit for making such amendments has NOT expired.
d.	■ have not been made and will not be made.
	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
X	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
ıs 11	to 20 below concern document(s) or information included:
	An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Forms PTO/SB/08A and PTO/SB/08B, and copies of references enclosed.
	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
	A preliminary amendment.
	An Application Data Sheet under 37 CFR 1.76.
	A substitute specification.
	A power of attorney and/or change of address letter.
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
	A second copy of the published international application under 35 U.S.C. 154(d)(4).
	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
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J.S. APPLICATION	0./	r k.15/11	see 27 6	4	6	9	
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INTERNATIONAL APPLICATION NO. PCT/US2003/018464

ATTORNEY'S DOCKET NUMBER 056231-9001

21.	▼ The f	following fees are subn	nitted:		CALCULATI	ONS PTO USE ONLY
		FEE (37 CFR 1.492(a				
internatio	nal search fe	e (37 CFR 1.445(a)(2)	n fee (37 CFR 1.482) nor paid to USPTO and he EPO or JPO			
Internatio USPTO b	onal prelimina out Internatio	ary examination fee (3° nal Search Report prep	7 CFR 1.482) not paid to ared by the EPO or JPO.	\$950.00	i 	
USPTO b	out internation	nal search fee (37 CFR	7 CFR 1.482) not paid to 1.445(a)(2)) paid to	\$790.00		
USPTO b	out all claims	ary examination fee (3° did not satisfy provision		\$750.00	1	
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)			\$790.00			
		for furnishing the oath ty date (37 CFR 1.492)	or declaration later than (e)).	30 months from the	\$	
CL	AIMS	NUMBER FILED	NUMBER EXTRA	RATE	s	
Total	claims	32 - 20 =	12	x \$18.00		
	dent claims	4 - 3 =	1	x \$88.00	\$216.00 \$88.00	
•		 DENT CLAIM(S) (if ap	pplicable)	+ \$300.00	\$88.00	
			TOTAL OF ABOV	E CALCULATIONS =		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$1094.00 \$574.00			
				SUBTOTAL =	\$574.00	
		0.00 for furnishing the ty date (37 CFR 1.492)	English translation later to f)).	han 30 months from the	\$	
-			TOTA	L NATIONAL FEE =	\$574.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$			
			TOTAL	FEES ENCLOSED =	\$574.00	
		T			Amount to be	\$
					refunded: Amount to be charged:	\$
a. 🗷	A check in t	he amount of \$574 00	to cover the above fee is	enclosed.		
					to cov	ver the above fees Δ
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. 🗷			rized to charge any additi copy of this sheet is enc	ional fees which may be r losed.	equired, or credit any o	overpayment to Deposit
d. 🗖	Fees are to b	oe charged to a credit code ded on this form. Pro	ard. WARNING: Information of the credit card information of th	mation on this form may licion and authorization on	pecome public. Credit PTO-2038.	t card information should
		appropriate time limit restore the application		as not been met, a petiti	on to revive (37 CFR	1.137 (a) or (b)) must be
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i hereby co	ertify that this pa	per and/or fee is being depos	ited with the United States Posta	l Services "Express Mail Post O	ffice to Addressee", Express	Mail Label No. EV 510706882 US,
service un	der 37 CFR 1.10	on the date of my signature a	and is addressed to Box PCT, Co	ommissioner of Patents, P.O. Box	. 1450, Alexandria, VA 2231	J-14JU.

Date: December 8, 2004

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The PTO did not receive the following listed item(s) NO POST CARO